Attorney Docket No. DEM1P003



TED STATES PATENT AND TRADEMARK OFFICE

In re application of: LEE et al.

Attorney Docket No.: DEM1P003

Application No.: 09/741,956

Examiner: Heck, Michael C.

Filed: December 20, 2000

Group: 3623

GROUP 3600

Title: ECONOMETRIC ENGINE

Mail Stop: Non-Fee Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

March 26, 2004

Dear Sirs:

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 26, 2004.

Kang S. Lim

INFORMATION DISCLOSURE STATEMENT FILED <u>UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)</u>

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) X months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311. A certification pursuant to 37 C.F.R. §1.97(e) or A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. KL-DEM1P003).

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Respectfully submitted,

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Sheet 1 of 1 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE **DEM1P003** 09/741,956 APPLICANT UMENTS OFTED BY APPLICANT LIST OF D LEE WITH 37 C.F.R. 1.56 FILING DATE **GROUP** 12/20/00 3623 U.S. PATENT DOCUMENTS **EXAMINER** DOCUMENT DATE NAME **CLASS** SUBCLASS FILING DATE IF INITIAL* NUMBER APPROPRIATE AA6,341,269 01/22/2002 Dulaney et al. RECEIVEL AB 5,377,095 12/27/1994 Maeda et al. MAR 3: 0, 2004 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) BA Alan L. Montgomery and Peter R. Rossi, "Estimating Price Elasticities with Theory-Based Priors," Journal of Marketing Research Vol. XXXVI, November 1999 (pp 413-423). Boatwright, Peter et al., "Account-Level Modeling for Trade Promotion: An Application BBof a Constrained Parameter Hierarchical Model," Journal of the American Statistical Association, Vol. 94, No. 448, December 1999 (pp 1063-1073). Alan L. Montgomery, "Creating Micro-Marketing Pricing Strategies Using Supermarket BC Scanner Data," Marketing Science, Vol. 16, No. 4, 1997 (pp 315-337). Robert C. Blattberg and Edward I. George, "Shrinkage Estimation of Price and BDPromotional Elasticities: Seemingly Unrelated Equations," Journal of the American Statistical Association, Vol. 86, No. 414, June 1991 (pp 304-315) Arnold Zellner, "On Assessing Prior Distribution sand Bayesian Regression Analysis BE With G-Prior Distributions," Elsevier Science Publishers, 1986 (pp 233-243) BF D.V. Lindley and A.F.M. Smith, "Bayes Estimates for the Linear Model," University College, December 1971. BG George C. Tiao and Arnold Zellner, "On the Bayesian Estimation of Multivariate Regression," University of Wisconsin, January 1964. Arnold Zellner, "An Efficient Method of Estimating Seemingly Unrelated Regressions BH and Tests for Aggregation Bias," University of Wisconsin, June 1962.

EXAMINER

DATE CONSIDERED

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.